

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): David M. Schut

Confirmation No.: 4177

Application No.: 09/866059

Examiner: A.L. Woodward

Filing Date: May 25, 2001

Group Art Unit: 1711

Title: Under-/Overprinting Fluid Component For Enhancing Ink Stability In Printing

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ( ) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97 (c) together with either a:  
(X) Statement under 37 CFR 1.97(e), or  
( ) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97 (d) together with a:  
( ) Statement under 37 CFR 1.97(e)(1) or (2), and  
( ) a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

(X) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: 8/5/03

OR

( ) I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number \_\_\_\_\_ on \_\_\_\_\_ Number of pages: \_\_\_\_\_

Typed Name: W. Bradley Haymond

Signature: W. Bradley Haymond

Respectfully submitted,

David M. Schut

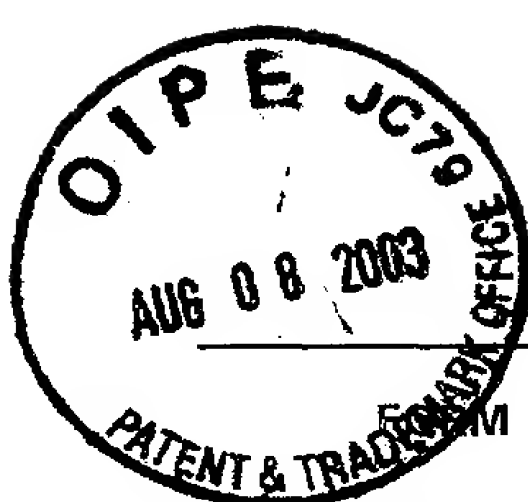
By

W. Bradley Haymond

Attorney/Agent for Applicant(s)

Reg. No. 35,186

Date: 8/5/03



## PATENT APPLICATION

Sheet 1 of 2

PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10002592-1

APPLICATION NO.

09/866,059

CONFIRMATION NO.

4177

APPLICANT

David M. Schut

FILING DATE

May 25, 2001

GROUP

1711

RECEIVED  
AUG 12 2003  
GROUP 1700

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	4,002,589	Jan 11, 1977	Farley et al	
	1B	5,695,603	Dec 09, 1997	Shiba et al	
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

## FOREIGN PATENT DOCUMENTS

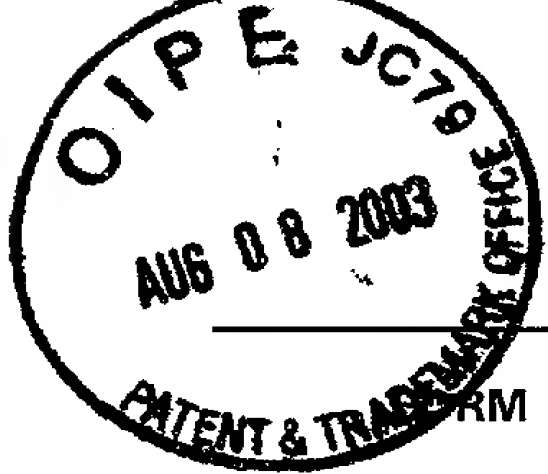
		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	EP 0 591 916	OCT 05, 1993	MAN Roland		
	1M	EP 1 043 344	Oct 11, 2000	L'OREAL		
	1N	EP 0 328 375	Aug 16, 1989	Hewlett Packard Co		
	1O	WO 00/43428	Jul 27, 2000	Kimberly-Clark		
	1P	WO 00/43440	Jul 27, 2000	Kimberly-Clark		

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

EXAMINER

DATE CONSIDERED



PATENT APPLICATION

Sheet 2 of 2

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10002592-1

APPLICATION NO.

09/866,059

CONFIRMATION NO.

4177

APPLICANT

David M. Schut

FILING DATE

May 25, 2001

GROUP

1711

RECEIVED  
AUG 12 2003  
GROUP 1700

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	2A				
	2B				
	2C				
	2D				
	2E				
	2F				
	2G				
	2H				
	2I				
	2J				
	2K				

FOREIGN PATENT DOCUMENTS

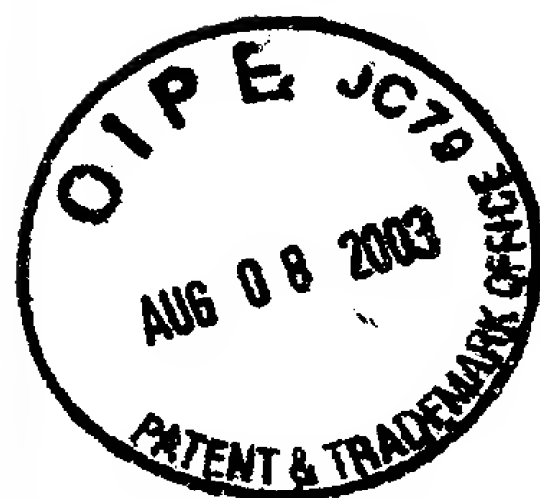
		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	2L	DE 10029694	Dec 20, 2001	BASF AG		
	2M	GB 857,798	Jan 04, 1961	Her Majestys Sta.		
	2N	GB 851,059	Oct 12, 1960	Her Majestys Sta.		
	2O					
	2P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	2Q	
	2R	
	2S	

EXAMINER

DATE CONSIDERED



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): David M. Schut

Confirmation No.: 4177

Application No.: 09/866059

Examiner: A.L. Woodward

Filing Date: May 25, 2001

Group Art Unit: 1711

Title: Under-/Overprinting Fluid Component For Enhancing Ink Stability In Printing

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ( ) No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
  - to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,  
David M. Schut

By W. Bradley Haymond  
W. Bradley Haymond

Attorney/Agent for Applicant(s)  
Reg. No. 35,186

Date: 8/5/03

Telephone No.: (541) 715-0159